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Steven P. Shurtz, Reg No. 31,424

Name of applicant, assignee or  
Registered Representative

Steven P. Shurtz

Signature

1/29/01

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Our Case No. 8864/7

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Griffith D. Neal )  
Serial No. 09/470,434 ) Examiner: Thanh Lam  
Filing Date: December 22, 1999 ) Group Art Unit: 2834  
For HIGH SPEED SPINDLE MOTOR )

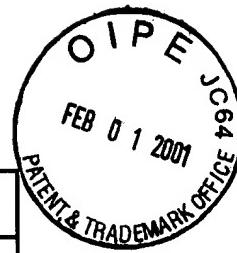
## THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Third Supplemental Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed.

## U. S. PATENT DOCUMENTS



DOCUMENT NUMBER	DATE	NAME
4,128,527	12/05/78	Kinjo et al.
4,352,897	10/05/82	Ogata et al.
4,387,311	06/07/83	Kobayashi et al.
4,679,313	07/14/87	Schultz et al.
4,760,299	07/26/88	Dickie et al.
4,868,970	09/26/89	Schultz et al.
4,954,739	09/04/90	Schultz et al.
5,008,572	04/16/91	Marshall et al.
5,694,268	12/02/97	Dunfield et al.
5,751,085	05/12/98	Hayashi
5,880,179	03/09/99	Ito et al.
5,942,824	08/24/99	Shioya et al.
6,002,185	12/14/99	Nakao et al.

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY
05336722	12/17/93	Japan
10070870	03/10/98	Japan
11082508	03/26/99	Japan

Most of the U.S. patent references were recently cited in co-pending U.S. applications and the Japanese references and one U.S. patent were recently cited in an official communication received for a corresponding PCT application, a copy of which is enclosed. Most of the references are in English. For those that are not, an English language abstract is also enclosed. The search report, abstract and any drawings provide an explanation of the relevance of the non-English references to the best understanding of the Applicant and his attorney.

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Applicant also notes that he is an inventor on the following pending U.S. patent applications, which are to be made of record.

Date	Application
22-Dec-1999	09/470,428
22-Dec-1999	09/470,432
22-Dec-1999	09/470,430
22-Dec-1999	09/470,429
22-Dec-1999	09/470,427
22-Dec-1999	09/470,431
22-Dec-1999	09/470,433
22-Dec-1999	09/470,425
22-Dec-1999	09/470,426
22-Dec-1999	09/470,424
15-Dec-2000	09/738,268



All of the above listed applications that were filed on December 22, 1999 have a nearly identical specification (text and drawings) as compared to the present case. Therefore, copies of those specifications are not attached. However, copies of the claims from these applications, with the serial number and filing date identified on the top of each page, and a copy of the specification and claims for Serial No. 09/738,268 are enclosed.

In accordance with 37 C.F.R. § 1.97(e)(1), Applicant hereby certifies that all of the above foreign patent documents and U.S. Pat. No. 5,694,268 were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to filing of the present statement.

In accordance with 37 C.F.R. § 1.97(e)(2), Applicant hereby certifies that none of the remaining patent references contained in the Third Supplemental Information Disclosure Statement were cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of Applicant's attorney after making reasonable inquiry none of the remaining patent references contained in the



Third Supplemental Information Disclosure Statement were known to an individual designated in § 1.567(c) more than three months prior to the filing of the information disclosure statement.

It is believed that this Third Supplemental Information Disclosure Statement is being timely filed in accordance with 37 C.F.R. § 1.97(b) and therefore, no fees are believed to be due in connection with filing of this Third Supplemental Information Disclosure Statement. However, should there be any fees be deemed necessary under 37 C.F.R. §§ 1.16 to 1.21 for any reason relating to these materials, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

Applicant respectfully requests that the listed documents be made of record in the present case.

Respectfully submitted,

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Dated: January 29, 2001

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